## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

DUGGAN, et al.

Serial No.: To Be Assigned

Confirmation No.: Unassigned

Filed: F

Herewith

For:

**TUBING EXPANSION** 

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Group Art Unit: Unassigned

Examiner:

Unassigned

MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

## CERTIFICATE UNDER 37 CFR 1.10

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited on March 2004 with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," mailing label No. EV335469013US addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

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Signature

## INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/CRUI/0012/WBP.

Respectfully submitted,

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Substitute	e for form 1449A/PTO			Application Number	To Be Assigned	-
			Filing Date	Herewith		
INFO	RMATION DISC	LOS	SURE	First Named Inventor	DUGGAN, et al.	
STAT	TEMENT BY APPLICANT	Group Art Unit	Unknown			
(Use as many sheets as necessary)				Examiner Name	Unknown	
			)	Attorney Docket Number	CRUI/0012	
Sheet	1	of	2	Submission Date	March 25, 2004	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	No.¹	Number-Kind Code <sup>2 (f known)</sup>	MM-DD-YYYY	Applicant of Cited Document		
	A1	US-4,058,163	11-15-1977	Yandeli	<u>-</u>	
	A2	US-4,890,682	01-02-1990	Worrall, et al.		
	A3	US-5,031,699	07-16-1991	Artynov, et al.		
	A4	US-				
	A5	US-				
	A6	US-				
	A7	US-				
	A8	US-				
	A9	US-				
	A10	US-				

		FORE	IGN PATENT I	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	NO.	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
	B1	GB 2 088 438	06-09-1982	Roper, et al.		
	B2	GB 2 261 238	05-12-1993	Reiley		
	В3	GB 2 272 924	01-06-1994	Coleman		
	B4	GB 2 392 933	03-17-2004	Duggan		
	B5	WO 00/37766	06-29-2000	Simpson		
	В6	WO 02/073000	09-19-2002	Lohbeck, et al.		
	В7	WO 02/090713	11-14-2002	Burge, et al.		
	B8	WO 03/055616	07-10-2003	Marr, et al.		
	B9	WO 03/064813	08-07-2003	Burge		
	B10	WO 2004/007893	014-22-2004	Harrall, et al.		

Examiner Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08b (08-03)

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Substitute for form 1449B/PTO				Application Number	To Be Assigned	_
				Filing Date	Herewith	
INFORMATION DISCLOSURE				First Named Inventor	DUGGAN, et al.	
STATEMENT BY APPLICANT			CANT	Group Art Unit	Unknown	
(Use as many sheets as necessary)				Examiner Name	Unknown	
				Attorney Docket Number	CRUI/0012	
Sheet	2	of 2 Submission Date March 25, 2004				

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1					
		GB Search Report dated July 21, 2003 from GB Application No. 0306774.1.				
		GB Search Report dated September 18, 2003 from GB Application No. 0312278.5.				
Examiner Date Considered						

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